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## (54) Title: CARRIER SYSTEMS FOR DRUGS

## (57) Abstract

The invention relates to carrier systems for drugs, their preparation and their use. The carrier systems according to the invention exhibit spherical particles with a diameter of less than 1 µm, optionally in combination with an appropriate bioadhesive polymer. The carrier systems have an improved bioadhesiveness and a high loadability with drugs and are able to provide a stable, pharmaceutically active concentration of drugs at the site of action over a longer period of time.

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Carrier Systems for Drugs

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The invention relates to carrier systems for drugs, their preparation and their use.

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The therapeutic effect of a drug inter alia is dependent on the concentration of the drug at the site of action for a desired period of time. On grounds of this dependence, factors such as distribution, dilution, excretion, absorption or biotransformation play an important role for the therapeutic effect of a drug. All of these factors must be taken into account in particular when formulating a drug.

20

One possibility of improving the therapeutic effect of a drug is to use carrier systems such as viscous solutions, ointments, bioadhesive polymers or spherical particles [1-9]. U.S. Patent 4,617,186 discloses, for instance, a cationic polymer ("GAFQUAT-234") that possesses bioadhesive properties and can be used as a carrier system for drugs for the treatment of eye diseases; Moreover, this polymer is also said to be able to bind spherical particles of albumin, which also represent carrier systems for drugs. The complexes of the polymer and the carrier system are said to be bioadhesive and to retard the drug release but no comparative data vis-à-vis the polymer alone are given in support of this statement. Furthermore, in particular cationic polymers are to be considered problematic because of their toxicological properties.

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1     Solutions, ointments and specific polymers distinguish themselves in particular by their high capacity for drug incorporation. Solutions exhibit considerable disadvantages over ointments and polymers due to the fast dilution,  
5     excretion and biotransformation of the drug, which entails that the drug concentration drops rapidly below the pharmaceutically active level at the site of action. Ointments, when applied to the eye, lead for example to a severe impairment of vision. A disadvantage of the known  
10    spherical particles as carrier systems is above all the low drug incorporation capacity, which may also entail too low a concentration of a drug at the site of action. Another disadvantage of known spherical particles is their low bioadhesiveness, which leads to a rapid excretion of these  
15    particles.

The problem underlying the invention is the provision of carrier systems for drugs, which remain for a prolonged time at the site of application by an improved bioadhesiveness,  
20    exhibit a high loadability with drugs and provide a stable concentration of drugs at the site of action over the desired period of time, in order to improve the therapeutic effect of drugs.

25    This problem is solved by the features of the claims.

In a first embodiment, the carrier system of the present invention exhibits spherical particles with a diameter of less than 1  $\mu\text{m}$ , preferably less than 500 nm, most preferably  
30    100 nm to 300 nm. In the following, such particles will also be called nanoparticles. By "particle size" the mean diameter of the particles is meant.

35    Nanoparticles as a carrier system for drugs display various advantages over the known microparticles with a diameter of at least 1  $\mu\text{m}$ . The nanoparticles can be better distributed in a liquid since no significant sedimentation of the

1 particles takes place. As a rule, no surfactants need to be  
added in order to disperse the particles. The nanoparticles  
can also be used as drug vehicles in inhalation aerosols.  
5 The nanoparticles have a larger specific surface and thus a  
higher incorporation capacity. Thus they enable an enhanced  
effect of the drug when used as a carrier system.

10 The spherical particles of the present invention preferably  
contain at least one synthetic, semi-synthetic and/or  
natural biopolymer, most preferably a polypeptide such as  
albumin or gelatine. Functional groups of the biopolymer  
such as -NH<sub>2</sub>, -CO<sub>2</sub>H, -COH or -SH permit covalent bonds with a  
multitude of drugs.

15 The spherical particles according to the present invention  
can incorporate both hydrophobic and hydrophilic drugs,  
wherein the loadability generally depends on the drug, e.g.  
15 % by weight of pilocarpine with respect to the spherical  
particles, and the weight ratio of particle to drug can be  
20 up to 1:1.

25 The spherical particles are non-toxic, biodegradable by  
lysosomal enzymes, biocompatible, physically and chemically  
stable and do not possess any relevant antigenic properties.

Furthermore, the spherical particles of the invention have a  
controllable drug release rate and are rapidly excreted.

30 Another embodiment of the carrier system according to the  
present invention comprises spherical particles with a  
diameter of at least 1 nm and less than 1 mm, i.e.  
microparticles and nanoparticles, in combination with at  
least one bioadhesive polymer such as pectins  
(polygalacturonic acid), mucopolysaccharides (hyaluronic  
35 acid, mucin) or non-toxic lectines. In the following, such a  
carrier system will also be called particle/polymer carrier  
system. Not all bioadhesive polymers known in the state of

1 the art necessarily entail a synergistic effect when used as  
a carrier system in connection with spherical particles. The  
use of polysaccharides, polyacrylates, alginates, polyvinyl  
alcohol, polyethylene glycol, polyvinyl pyrrolidone and  
5 lectins is preferred. Most preferred is the use of methyl  
cellulose 400, sodium carboxymethyl cellulose, Carbopol®  
941, hydroxypropyl methyl cellulose, hyaluronic acid, sodium  
alginate MV, mucin and polycarbophil.

10 The bioadhesive polymers preferably have a viscosity of  $4 \times 10^{-3}$  to  $100 \times 10^{-3}$  Pas, the retarded drug release being improved at a higher viscosity. Generally, a higher viscosity of the polymers is advantageous. However, the viscosity increase is restricted for practical reasons, for  
15 example in the application to the eye. The weight ratio of spherical particles to bioadhesive polymer inter alia is dependent on the used polymer and can for instance be 2:1 to 1:2.

20 The advantages of particle/polymer carrier systems for drugs over pure particle carrier systems are on the one hand an increased incorporation capacity due to an increased adsorption of the drug molecules and on the other hand a lower required dose of the drug due to a prolonged effect of  
25 the drug and thus less discomfort for the patient.

The bioadhesive effect of the polymers is probably due to an intermolecular interaction, such as ionic interactions, Van der Waals interactions, hydrogen bonds or molecular  
30 entanglement of the polymer with surface components, such as proteins or lipids, of mucous surfaces, or to other physical phenomena, such as capillary action or viscosity.

35 A further aspect of the invention is a composition that contains at least one of the aforementioned carrier systems, a drug and optionally a further pharmaceutically acceptable carrier or diluent.

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The weight ratio of drug to carrier system is conventionally in the range of 100:1 to 1:1000, preferably 10:1 to 1:10 and most preferably 2:1 to 1:2 or 2:1 to 1:1.

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The preparation of the spherical particles according to the invention can be carried out by several alternative methods. Suitable methods are the desolvation of the biopolymer used as starting material by dehydrating compounds, such as 10 alcohols or sodium/ammonium sulfate, the thermal denaturation of the biopolymer by heating to 95°C to 195°C, the reaction of the biopolymer with a coupling reagent and/or the reaction of the biopolymer with a compound ("hardener") having two or more functional groups, such as 15 glutaraldehyde.

The resultant spherical particles are suspended in a concentration of up to 10 % (w/v) in an appropriate solvent, for instance water.

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The size as well as the diameter of the spherical particles can be optimized by varying appropriate parameters, such as temperature, concentration of the biopolymer, concentration of the hardener or selection of the dehydrating agent (e.g. 25 absolute alcohol instead of salts), or by further appropriate method steps, such as ultrasonication of the particles. Furthermore, the spherical particles can also be chromatographically purified over a suitable column (gel filtration).

30

A preferred method for the preparation of the spherical particles comprises the addition of 100 % ethanol to a solution of 0.25 to 1.5 % (w/v) of a polypeptide, preferably less than 1.25 % (w/v) of the polypeptide, in distilled 35 water, the mixing ratio of ethanol:polypeptide solution being > 1:1 to 2:1. After the onset of the polypeptide desolvation, 0.01 to 1 % (v/v) of 25 % glutaraldehyde are

1        added to said mixture. After about 1 hour, a corresponding  
amount of a 12 % (w/v) sodium metabisulfite solution is  
added in order to decompose the excess glutaraldehyde. After  
about 3 hours, the ethanol is evaporated and the obtained  
5        particle suspension column-chromatographically purified. The  
particle-containing fraction is subsequently lyophilized  
while glucose is added.

10      When preparing the spherical particles, intermolecular and  
intramolecular bonds, such as covalent bonds, or  
interactions, such as hydrophobic interaction, with  
particular functional groups of the biopolymer, such as -NH<sub>2</sub>,  
-CO<sub>2</sub>H, -COH, -SH or phenyl groups, are produced.

15      The preparation of the particle/polymer carrier systems  
according to the invention comprises mixing at least one  
appropriate bioadhesive polymer with a suspension of  
spherical particles. Said spherical particles can be  
produced according to the aforementioned inventive method or  
20      according to methods known in the state of the art [10-12].

25      The preparation of the composition of a drug and a carrier  
system according to the invention comprises the adsorption  
or loading of a drug into or onto the spherical particle and  
can be performed either simultaneously with the preparation  
of the carrier system by the addition of an appropriate drug  
solution or sequentially by the addition of a suspension of  
spherical particles to an appropriate drug solution.  
Furthermore, the preparation optionally comprises the  
30      addition of 0.1 to 2 % of a surfactant.

35      The loading process of the carrier system with a drug is  
probably based on a bond of the drug molecules with the  
carrier system, in which said molecules are complexed by  
intermolecular interactions, such as hydrogen bonds, with  
specific groups of the biopolymer, such as -NH<sub>2</sub>, -OH, -COOH  
or -SH.

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5           The carrier system according to the invention can incorporate a multitude of drugs, such as antiasthmatics, analgetics, antitussiva, bronchodilators, narcotics, mucolytics, antibiotics, antifungals, antituberculosis agents, steroids, antitumor agents, parasympathomimetics, fibrinolytics, immunosuppressives etc.

10          The drug-loaded carrier systems according to the present invention can be administered intraarticularly, cutaneously, subcutaneously, intramuscularly, intravenously, intraarterially, intravaginally, rectally, orally, nasally and ocularly. The drug-loaded particle/polymer carrier systems are preferably applied onto mucous surfaces of mammalians, 15         including humans.

20          A preferred application comprises the formulation of a composition of carrier systems and drugs, which are administered for the treatment of eye diseases, such as glaucoma, inflammations, infections and allergic reactions.

25          When selecting the particle size, the intended application plays an important role. For example, carrier systems that contain spherical particles with a diameter of more than 25  $\mu\text{m}$  are not suitable for the application to the eye because of the pain sensation. The lowest limit for the particle size is essentially not restricted by the application, however, it is difficult to produce particles with a diameter of < 10 nm. Furthermore, particles with a diameter 30         of < 10 nm lead to a rapid accumulation at the eye or to an exhalation in the application as an inhalation aerosol.

35          In the following the invention will be explained in more detail by means of the drawings.

1      Figure 1 shows a diagram of the miotic activity of a  
pilocarpine composition containing albumin nanoparticles  
against time, with a 2 % pilocarpine solution as a  
reference.

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Figure 2 shows a diagram of the miotic activity of a  
nanoparticle/mucin/pilocarpine composition (weight ratio  
1:1.25:1) against time, with a mucin/ pilocarpine  
composition (weight ratio 1.25:1) as a reference.

10

Figures 3 and 4 show a diagram of the intraocular pressure  
(mm Hg) of a 2 % pilocarpine solution, a microparticle/  
pilocarpine composition and a nanoparticle/mucin/pilocarpine  
composition against time, wherein the temporal change of the  
15     pressure without the addition of a drug is defined as a  
baseline.

The following examples illustrate the invention.

20     Example 1: Albumin Nanoparticle/Pilocarpine Composition

(A) The Preparation of the Albumin Nanoparticles

500 mg bovine serum albumin are dissolved in 40 ml of  
25     distilled water and 100 % ethanol is slowly dropped in  
while stirring is maintained. After the addition of  
about 60 ml of 100% ethanol, the desolvation of the  
bovine serum albumin can be observed by a slight blue  
shimmer of the mixture. 0.1 ml of 25 % glutaraldehyde is  
30     added to the mixture during stirring and subsequently  
agitation is continued for about 3 hours. The excess  
glutaraldehyde is decomposed by the addition of 1 ml of  
12 % sodium metabisulfite solution. After further 3  
hours of agitation, the ethanol is evaporated under  
35     vacuum. The remainder is chromatographically purified  
over a Sephadex S-1000 column (Pharmacia). The obtained  
particle suspension is lyophilized by the addition of

1 glucose for about 16 hours. The particle diameter in  
this method is 100 to 200 nm (measured with particle  
measuring device BI-90, Brook Haven Instruments).

5 (B) Pilocarpine-Loading

10 20 mg/ml of the nanoparticles are added to a 2 %  
pilocarpine nitrate solution (containing 1.2 % of  
pluronic F 68, 1 % of sodium sulfate, phosphate-  
buffered, pH 7) and the mixture is equilibrated to reach  
an equilibrium while stirring. The mixture is then  
15 filtrated by ultrafiltration and the amount of the free  
pilocarpine is spectroscopically determined. The amount  
of incorporated pilocarpine is 11.8 mg/100 mg carrier.  
The amount of incorporated pilocarpine in the case of  
20 particles with a diameter of 1-2  $\mu\text{m}$  is only 5.8 mg/100  
mg carrier.

(C) Determination of the Miotic Activity

20 The determination of the miotic activity is carried out  
with male albino New Zealand rabbits. Each of the  
experiments is performed with 5 rabbits and a dose of 50  
25  $\mu\text{l}$  of nanoparticle/pilocarpine composition. The measure-  
ments of the pupillary diameter are carried out under  
constant light conditions with a micrometer that is held  
at a fixed distance from the rabbit's eyes. The results  
are graphically depicted in Figure 1. The duration of  
30 effect of pilocarpine increases by up to 14 %, with the  
half-life ( $t_{1/2}$ ) being prolonged by up to 19 %. The  
half-life is defined as the moment at which the miosis  
exhibits half of its maximum value.

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1      Example 2: Nanoparticle/Mucin/Pilocarpine Composition(A) The Preparation of the Bovine Serum Albumin/Mucin  
Composition

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The nanoparticles as described in example 1(A) are suspended in an appropriate buffer, pH 7, and 2.5 % or 4.5 % of mucin are added, solutions with viscosities of  $4-7 \times 10^{-3}$  Pas or  $13-17 \times 10^{-3}$  Pas, respectively, being obtained.

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## (B) Pilocarpine-Loading

15      The nanoparticle composition is suspended in a 2 % pilocarpine solution as described in example 1(B), and subsequently mucin is added.

## (C) Determination of the Miotic Activity

20      The determination of the miotic activity is carried out as described in example 1(C). The results are graphically depicted in Figure 2 and in Table I. The effect of pilocarpine (Pilo.) is prolonged by up to 90 min (duration of effect [min]), the half-life ( $t_{1/2}$ ) being prolonged by up to 62 %. The effect of pilocarpine is directly proportional to the miosis.

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TABLE I

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Carrier System	Measuring time <sup>a)</sup> [min]	I max <sup>b)</sup> [mm]	Duration [min]	AUC <sup>c)</sup>	t <sub>1/2</sub> [min]
Mucin 2.5% Micro-particle 2% Pilo. 2%	30	2.66	300	386.40	130
Mucin 2.5% Pilo. 2% Reference	30	2.40	210	230.74	97
Mucin 2.5% Nano-particle 2% Pilo. 2%	15	4.26	300	631.95	155
Mucin 2.5% Pilo. 2% Reference	30	3.68	210	394.53.	122
Mucin 4.5% Micro-particle 4% Pilo. 2%	30	3.08	300	425.25	135
Mucin 4.5% Nano-particle 4% Pilo. 2%	30	4.15	300	629.74	157

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- a) moment of maximum pupillary contraction  
 b) maximum pupillary contraction  
 c) "area under the curve" (integral of the time-of-effect curve)

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(D) Determination of the Intraocular Pressure (Betamethasone Model)

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0.8 ml of betamethasone is subconjunctivally injected into the right eye of 13 male albino New Zealand rabbits. The injections are performed weekly over a period of 3 weeks. After three weeks, the ocular hypertension becomes stable. 50 µl of a particle/pilocarpine composition or a particle/mucin/pilocarpine composition are subsequently instilled into the conjunctival sac and then the intraocular pressure is measured. The results are graphically depicted in Figures 3 and 4 as well as in Table II. The time-of-

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1 effect curve and thus the bioavailability of pilocarpine  
increase by up to 220 % with respect to a 2 % pilo-  
carpine solution. The bioavailability is defined as the  
fraction of a drug that is determined with respect to  
5 the dose in the measuring compartment, with a direct  
correlation existing between the concentration and the  
effect of the drug. The effect of pilocarpine is  
prolonged by up to 100 % (duration of effect [h]).

10

TABLE II

	Preparation	Duration [h]	AUC [cm <sup>2</sup> ]	Bioavailability [%]
15	Pilocarpine 2% Reference	3.5	19.03	100.0
	Pilocarpine 2% Nanoparticle 2%	5.5	20.28	205.26
20	Mucin 4.5% Micro- particle 4% Pilo. 2%	7.0	31.53	319.12

Example 3: Loading of Hydrocortisone onto Nanoparticles

25 Nanoparticles as described in example 1(A) are suspended in water and added to a saturated solution of hydrocortisone in ethanol (13.33 mg/ml). The mixture is ultrafiltrated through a 10 nm filter and the hydrocortisone-adsorbed nanoparticles are retained. The hydrocortisone contained in the filtrate is subsequently spectroscopically determined at 247 nm. The 30 nanoparticles contain 6.81 % of hydrocortisone. The amount of hydrocortisone loaded onto particles with a diameter of 0.8 to 1.5  $\mu\text{m}$  is 4.02 %.

1    Literature:

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- [4] Park, K. et al. (1984), Int. J. Pharmaceutics 19, 107-127;
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- [6] Wood, R.W. et al. (1985), Int. J. Pharmaceutics 23, 175-183;
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- [9] Lehr et al. (1989), Proceed. Intern. Symp. Control. Rel. Bioact. Mater., 16, 418-419;
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- 20 [11] Knop, G et al. (1974), Nuklearmedizinisches Symposium in Reinhardtsbrunn DDR, 315-319;
- [12] Widder, K. et al. (1979), J. Pharm. Sci. 68, 79- 82.

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C L A I M S

- 5 1. A carrier system for drugs, said carrier system comprising spherical particles with a diameter of less than 1  $\mu\text{m}$ .
- 20 2. The carrier system according to claim 1, wherein the spherical particles have a diameter of less than 500 nm.
- 10 3. The carrier system according to claim 1, wherein the spherical particles have a diameter of 100 to 300 nm.
- 15 4. A carrier system for drugs, said carrier system comprising spherical particles with a diameter of at least 1 nm and less than 1 mm, and at least one bioadhesive polymer.
- 20 5. The carrier system according to claim 4, wherein the bioadhesive polymer has a viscosity of  $4 \times 10^{-3}$  to  $100 \times 10^{-3}$  Pas.
- 25 6. The carrier system according to claim 4 or 5, wherein the bioadhesive polymer is neutral or anionic.
- 30 7. The carrier system according to any of claims 4 to 6, wherein the bioadhesive polymer is selected from the group consisting of polysaccharide, polyacrylate, alginate, polyvinyl alcohol, polyethylene glycol, polyvinyl pyrrolidone and lectine.
- 35 8. The carrier system according to any of claims 4 to 7, wherein the bioadhesive polymer is selected from the group consisting of methyl cellulose 400, sodium carboxymethyl cellulose, Carbopol® 941, hydroxypropyl methyl cellulose, hyaluronic acid, sodium alginate MV, mucin and polycarbophil.

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9. The carrier system according to any of claims 1 to 8, wherein the spherical particles consist of at least one synthetic, semi-synthetic or natural biopolymer.

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10. The carrier system according to claim 9, wherein the biopolymer is the protein albumin.

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11. A composition consisting of a carrier system according to any of claims 1 to 10 and a drug.

15

12. The composition according to claim 11, wherein the weight ratio of drug to carrier system is in the range of 100:1 to 1:100.

20

13. A method for preparing a carrier system according to any of claims 1 to 3, said method comprising at least one of the following method steps in the preparation of the spherical particles:

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- (A) desolvation of a synthetic, semi-synthetic or natural biopolymer,
- (B) thermal denaturation of a synthetic, semi-synthetic or natural biopolymer,
- (C) reaction of a synthetic, semi-synthetic or natural biopolymer with a coupling reagent, and/or
- (D) reaction of a synthetic, semi-synthetic or natural biopolymer with a compound that contains two or more functional groups.

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14. The method according to claim 13, wherein the compound in step (D) is glutaraldehyde.

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15. A method for preparing a carrier system according to any of claims 4 to 10, said method comprising at least one of the method steps (A) to (D) according to claim 13, and the further step of mixing the formed spherical particles with at least one bioadhesive polymer.

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16. A method for preparing a composition according to claim  
11 or 12, said method comprising the step of adding an  
appropriate drug solution

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- (A) during the preparation of the carrier system according to the method of any of claims 13 to 15, and/or
- (B) after the preparation of the carrier system according to the method of any of claims 13 to 15.

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17. A method of treatment comprising administering to a patient in need of such a treatment a composition according to claim 11 or 12.

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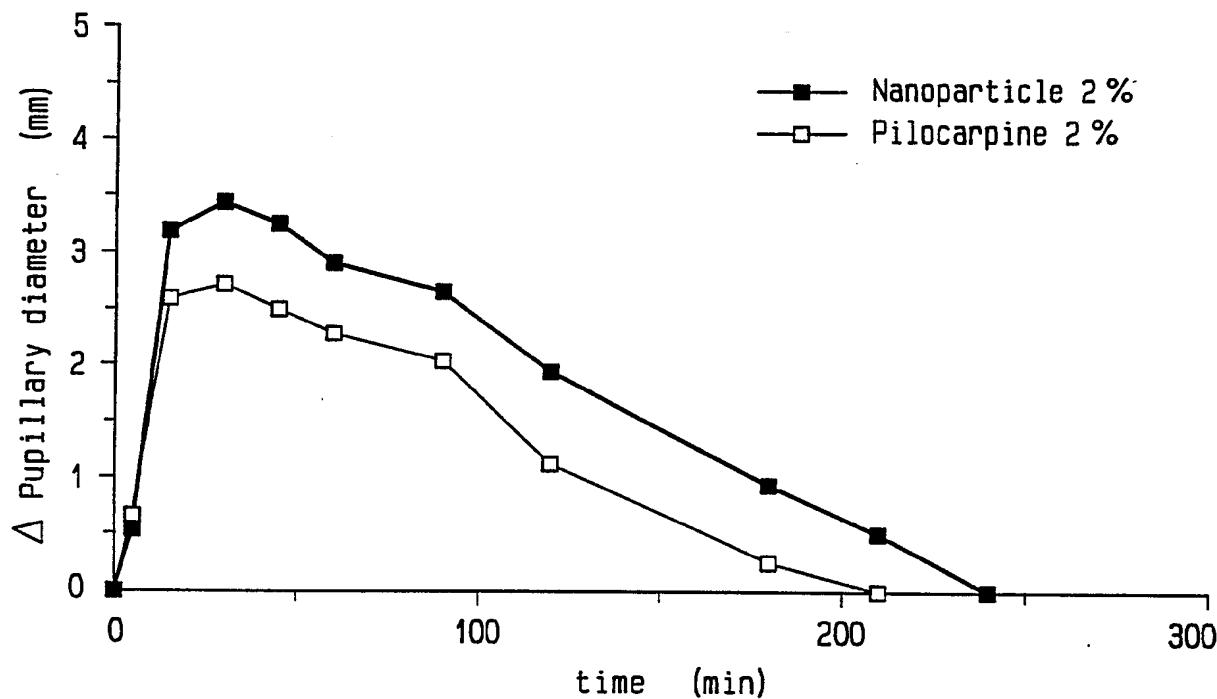


Fig. 1

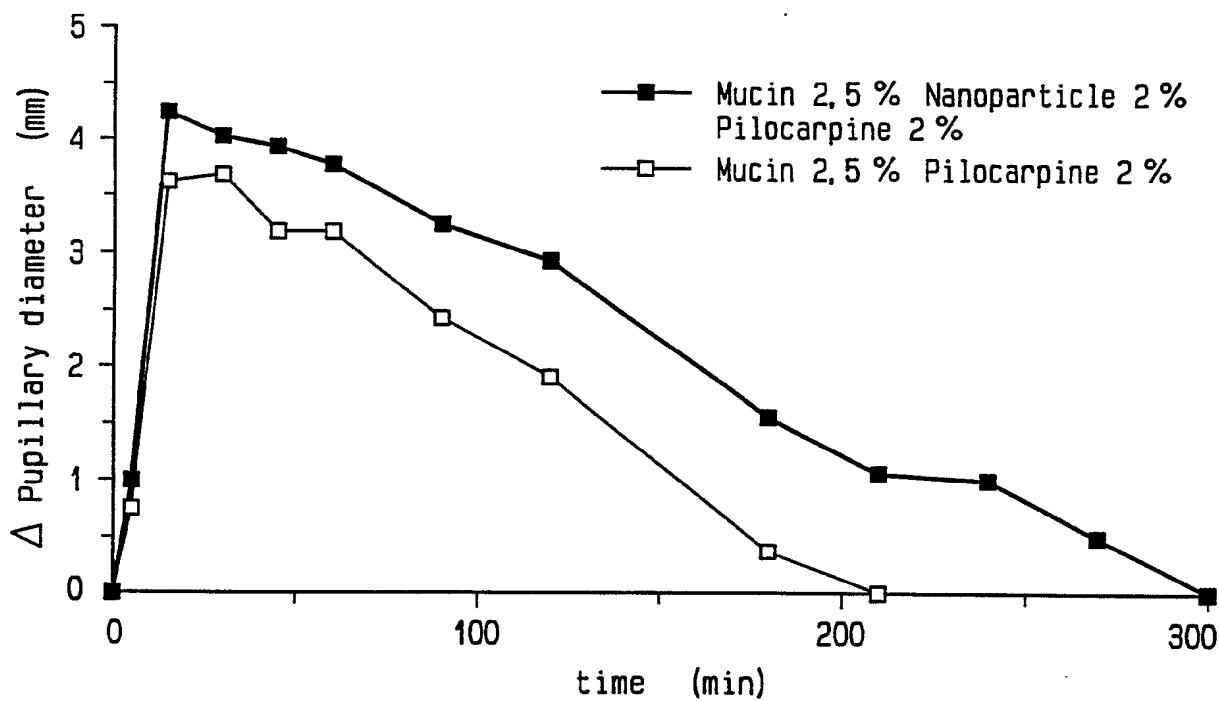


Fig. 2

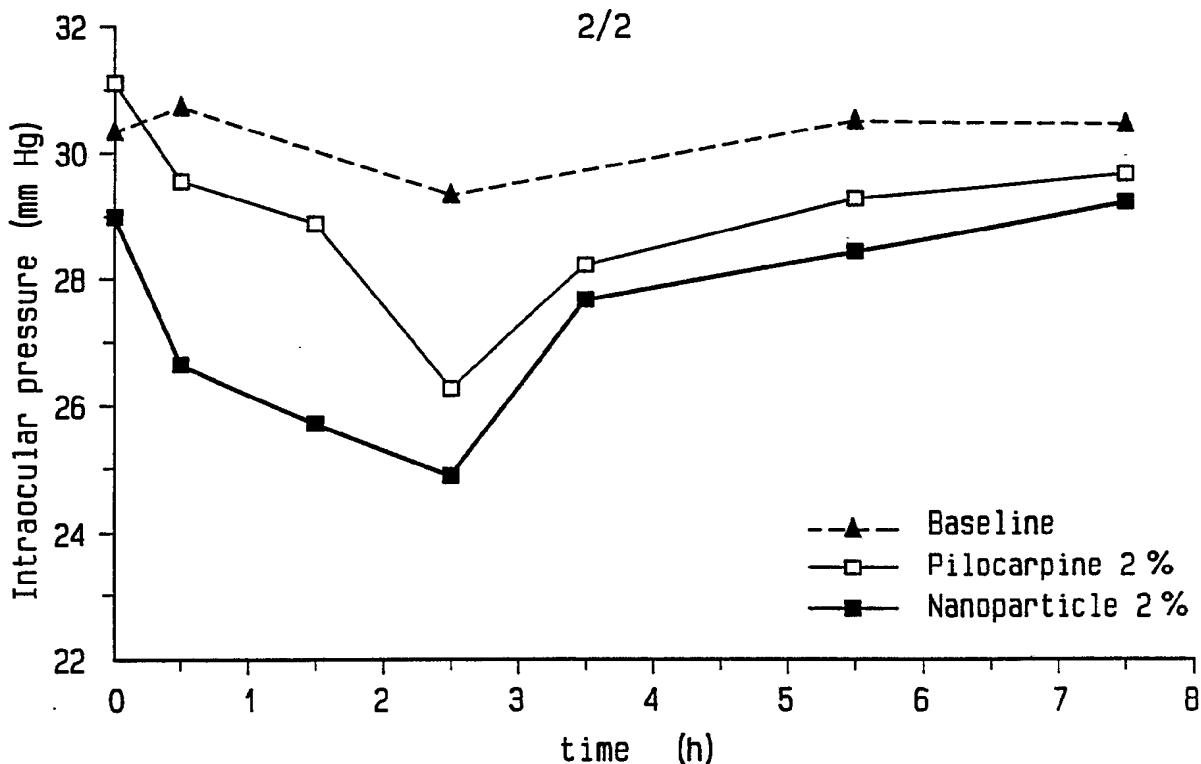


Fig. 3

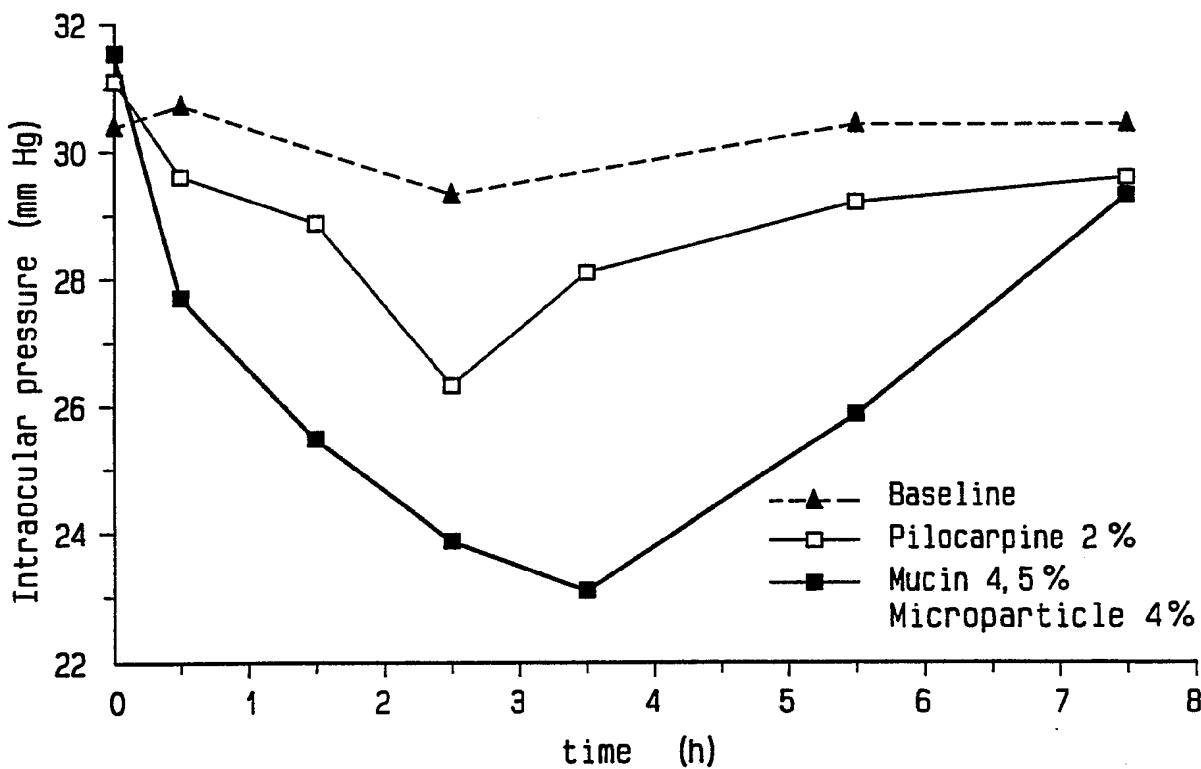


Fig. 4

**SUBSTITUTE SHEET**

## INTERNATIONAL SEARCH REPORT

International Application No

PCT/EP 92/01425

I. CLASSIFICATION OF SUBJECT MATTER (if several classification symbols apply, indicate all)<sup>6</sup>

According to International Patent Classification (IPC) or to both National Classification and IPC  
 Int.C1. 5 A61K9/51; A61K9/16

## II. FIELDS SEARCHED

Minimum Documentation Searched<sup>7</sup>

Classification System	Classification Symbols
Int.C1. 5	A61K

Documentation Searched other than Minimum Documentation  
to the Extent that such Documents are Included in the Fields Searched<sup>8</sup>III. DOCUMENTS CONSIDERED TO BE RELEVANT<sup>9</sup>

Category <sup>10</sup>	Citation of Document, <sup>11</sup> with indication, where appropriate, of the relevant passages <sup>12</sup>	Relevant to Claim No. <sup>13</sup>
X	GB,A,1 516 348 (PHARMACEUTICAL SOCIETY OF VICTORIA) 5 July 1978 see the whole document ---	1-4, 9-11, 13-14
X	WO,A,9 004 963 (DANBIOSYST UK LIMITED) 17 May 1990 see claims 1-5,8 see page 3, line 11 - line 18 see page 8, line 20 - page 9, line 3 see page 15, line 5 - line 15 ---	4,9-16
P,X	EP,A,0 486 959 (VECTORPHARMA INTERNATIONAL S.P.A.) 27 May 1992 see claims 1-3 see page 4, line 20 - line 32 -----	1-9, 11-12

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ON INTERNATIONAL PATENT APPLICATION NO. EP 9201425  
SA 62285

This annex lists the patent family members relating to the patent documents cited in the above-mentioned international search report.  
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